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sector #3

PATENT

Docket No.: UC2000-360-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J.J. GARCIA-LUNA-ACEVES; ASIMAKIS TZAMALOUKAS
Serial No.: 09/928,221
Filed: AUGUST 10, 2001
For: RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)
Group:
Examiner:

BOX MISSING PARTS

**Assistant Commissioner for Patents
Washington, D.C. 20231**

**SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed September 28, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$624 (\$559 filing fees and \$65 surcharge for the fees due as a small entity);
5. Petition for Extension of Time;
6. Check in the amount of \$55 (for the extension fees due as a small entity);
7. Assignment Cover Letter;
8. Assignment;
9. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed		Number Extra		Rate		Basic Fee \$ 740.00
Total							
Claims 37 CFR 1.16(c)	27	- 20	=	7	X	\$18.00	= 126.00
Independent							
Claims (37 CFR 1.16(b))	6	- 3	=	4	X	\$84.00	= 252.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$280.00	=

Filing Fee Calculation \$ 1,118.00

Surcharge \$ 130.00

TOTAL FILING FEE \$ 1,248.00

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 624.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 624.00

X Assignment recording fee \$ 40.00

X Petition fee \$ 55.00

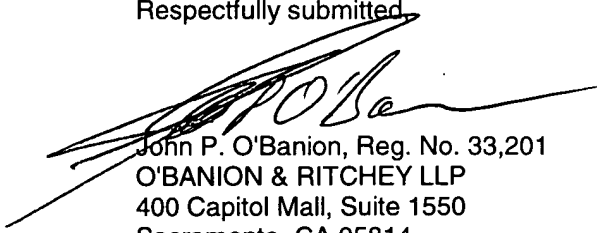
TOTAL FEES DUE \$ 719.00

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: December 28, 2001.

Respectfully submitted,


John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

Docket No.

UC2000-360-2

Serial No.

09/928,221

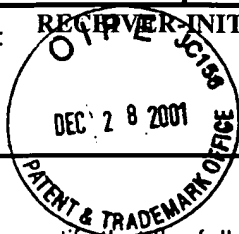
Filing Date

AUGUST 10, 2001

Examiner

Group Art Unit

2661

Invention: **RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)**

I hereby certify that the following correspondence:

Submittal of missing parts of application (Page 1 & 2)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 28, 2001*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV037989058US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Small Entity)

Docket No.
UC2000-360-2

In Re Application Of: JOSE JOAQUIN GARCIA-LUNA-ACEVES; ASIMAKIS TZAMALOUKAS

Serial No.
09/928,221

Filing Date
AUGSUT 10, 2001

Examiner

Group Art Unit
2661

Invention: RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of September 28, 2001 in the above-identified application.
Date

The requested extension is as follows (check time period desired):

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: November 28, 2001

Date

until: December 28, 2001

Date

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☐ is enclosed.
☒ has already been filed in this application.

The fee for the extension of time is \$55 and is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
A duplicate copy of this sheet is enclosed.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. A duplicate copy of this sheet is enclosed.


Signature

Dated: December 28, 2001

JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

01/04/2002 SDIRETA1 00000047 09928221

01 FC:215

55.00 OP

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

Docket No.

UC2000-360-2

Serial No.

09/928,221

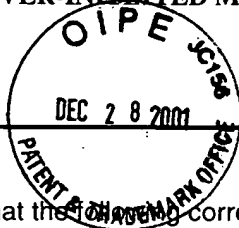
Filing Date

AUGUST 10, 2001

Examiner

Group Art Unit

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Invention: **RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)**

I hereby certify that the foregoing correspondence:

Power of attorney by assignee (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 28, 2001

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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PATENT

Docket No.: UC2000-360-2

Applicant or Patentee: J.J. GARCIA-LUNA-ACEVES; ASIMAKIS TZAMALOUKAS
Serial or Patent No.: 09/928,221
Filed or Issued: AUGUST 10, 2001
For: RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)
Group:
Examiner:

ASSIGNEE CERTIFICATION UNDER 37 C.F.R. 3.73(b)

In accordance with 37 C.F.R. 3.73(b), the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated: December 26, 2001

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: *Linda S. Stevenson*

Typed Name: Linda S. Stevenson

Title: Manager, Patent Prosecution
Office of the President
University of California
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

Docket No.

UC2000-360-2

Serial No.

09/928,221

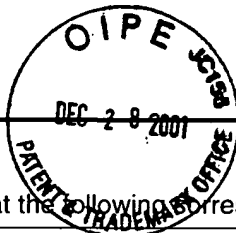
Filing Date

AUGUST 10, 2001

Examiner

Group Art Unit

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Invention: **RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)**

I hereby certify that the following correspondence:

Assignee Certification (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 28, 2001

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)EV037989058US

("Express Mail" Mailing Label Number)

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Serial No.

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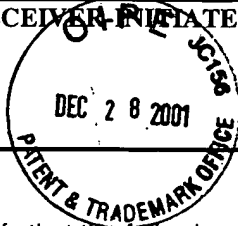
Filing Date

AUGUST 10, 2001

Examiner

Group Art Unit

2661

Invention: **RECEIVER INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)**

I hereby certify that the following correspondence:

Petition for extension of time under 37 CFR 1.136 (a) Small Entity (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 28, 2001

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV037989058US

("Express Mail" Mailing Label Number)

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Docket No.

UC2000-360-2

Serial No.

09/928,221

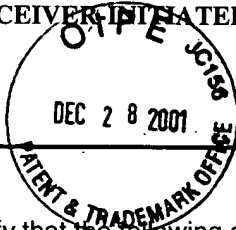
Filing Date

AUGUST 10, 2001

Examiner

Group Art Unit

2661

Invention: **RECEIVER-INITIATED MULTIPLE ACCESS FOR AD-HOC NETWORKS (RIMA)**

I hereby certify that the following correspondence:

Notice to file missing parts of nonprovisional application (Page 1)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

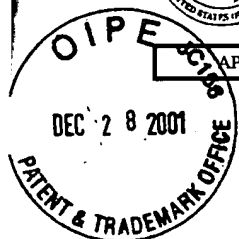
December 28, 2001*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV037989058US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

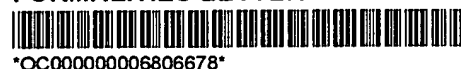


APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,221	08/10/2001	Jose Joaquin Garcia-Luna-Aceves	UC20000-360-2

John P. O'Banion
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814

CONFIRMATION NO. 7500

FORMALITIES LETTER



OC000000006806678

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/04/2002 SDIRETA1 00000046 09928221

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	63.00 OP
03 FC:202	126.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$183.
 - \$63 for 7 total claims over 20.
 - \$120 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 603.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE